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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 09796503-0170
INTERNATIONAL APPLICATION NO. PCT/EP2004/008988		U.S. APPLICATION NO. (If known, use PCT/EP 1.5) 10/568005
INTERNATIONAL FILING DATE August 11, 2004		PRIORITY DATE CLAIMED August 13, 2003
TITLE OF INVENTION: DEVICE FOR ELIMINATING IMPURITIES FROM THE LUBRICATING OIL OF AN INTERNAL COMBUSTION ENGINE		
APPLICANT(S) FOR DO/EO/US: Dieter Baumann		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

- ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☒ The US has been elected (Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - ☒ is attached hereto (required only if not communicated by the International Bureau).
 - ☐ has been communicated by the International Bureau.
 - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - ☒ is attached hereto.
 - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - ☒ are attached hereto (required only if not communicated by the International Bureau).
 - ☐ have been communicated by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - ☒ have not been made and will not be made.
- ☒ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **Unexecuted**
- ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ A preliminary amendment.
- ☐ An Application Data Sheet under 37 CFR 1.76.
- ☒ A substitute specification.
- ☒ A power of attorney and/or change of address letter. - **unexecuted**
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
- ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information. Express Mail No. EV 475023448 US

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 107568005		INTERNATIONAL APPLICATION NO. PCT/EP2004/008988		ATTORNEY'S DOCKET NUMBER 09796503-0170	
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee. \$300				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination Fee					
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). \$100				\$ 200.00	
All other situations. \$200					
23. <input checked="" type="checkbox"/> Search Fee					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an international Searching Authority. \$100					
International Search Report prepared and provided to the Office. \$400				\$ 400.00	
All other situations. \$500					
TOTAL OF 21, 22 AND 23 =				\$ 900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		X \$250	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	41 -20 =	21	x \$50	\$ 1,050.00	
Independent claims	3 -3 =		x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,050.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 2,080.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$ 2,080.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				\$	
TOTAL FEES ENCLOSED =				\$ 2,080.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$ 2,080.00
<p>a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-3140</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Sonnenschein Nath & Rosenthal LP P.O. Box 061080 Wacker Drive Station - Sears Tower Chicago, Illinois 60606-1080 Customer No. 26263 Telephone: 312-876-8000</p>					
				SIGNATURE	
				Kevin W. Gynn	February 10, 2006
				NAME	
				Registration No. 29,927	
				REGISTRATION NUMBER	

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February 10, 2006

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10 FEB 2006

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CERTIFICATE OF MAILING

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Date of Deposit: February 10, 2006

Attorney's Docket No. 09796503-0170

I hereby certify that the following items are being deposited with the United States Postal "Express Mail Post Office Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: The Assistant Commissioner of Patents, **Box PCT Application, P.O. Box 1450, Alexandria, VA 22313-1450.**

U.S. patent application entitled "DEVICE FOR ELIMINATING IMPURITIES FROM THE LUBRICATING OIL OF AN INTERNAL COMBUSTION ENGINE"

1. Transmittal Letter Under 35 U.S.C. 371;
2. PTO Form 2038 for American Express charges - \$2,080.00
3. Specification (as filed as an International application); (5a)
4. Translation of original application
5. 11 Sheets of Drawings;
6. English Translation of Amended Claims
7. Amended claims of International application
8. Preliminary Amendment
9. Substitute Specification - marked up
Substitute Specification - clean copy
10. Information Disclosure Statement, including transmittal letter and 1449 form (11)
11. Declaration Copy - Un-Executed (19)
12. Postcard;
13. Certificate of Mailing Label No. **EV475023448US.**



Signature of person mailing application and fee

February 10, 2006

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